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**UNITED STATES DISTRICT COURT  
NORTHERN DISTRICT OF CALIFORNIA**

ADAN ORTIZ , an individual on behalf of  
himself, all others similarly situated,

Plaintiff,

v.

GENCO, INC., a Delaware Corporation,  
KRAFT HEINZ FOOD COMPANY and  
DOES 1 to 50, inclusive,  
Defendants.

Case No. 4:16-cv-04601-YGR  
Case No. 4:17-cv-03692-YGR

**ORDER GRANTING FINAL APPROVAL  
OF CLASS ACTION SETTLEMENT,  
APPROVING SETTLEMENT  
ADMINISTRATOR COSTS, GRANTING  
MOTION FOR ATTORNEY FEES AND  
COSTS AND INCENTIVE AWARD; AND  
JUDGMENT**

1 A hearing the motion of plaintiff Adan Ortiz (“plaintiff”) for final approval of the class  
2 settlement (the “Settlement”) between plaintiff and defendant Genco I., Inc. (“Genco” or “defendant”)  
3 was held on April 2, 2019.

4 The parties have submitted the Settlement Agreement, attached hereto as **Exhibit 1**. The Court  
5 preliminarily approved class settlement under the terms of the Settlement Agreement by its order entered  
6 on August 13, 2018. In accordance with the Preliminary Approval Order, Class Members have been  
7 given notice of the terms of the Settlement and the opportunity to object to it or to exclude themselves  
8 from its provisions.

9 Having reviewed the Settlement, the supporting papers filed by the parties, the evidence, and the  
10 arguments in support of the Preliminary Approval Order and final approval, the Court **GRANTS** final  
11 approval of the Settlement, and **HEREBY ORDERS AND DETERMINES** as follows:

12 1. Pursuant to the Preliminary Approval Order, the Postcard Notice of Class Action  
13 Settlement was sent to each Background Check Class Member by first-class mail. The Class Notice was  
14 sent to each Wage and Hour Class Member by first-class mail. The Long Form Notice was posted on  
15 the Notice Administrator’s website. The Court finds and determines that this notice procedure afforded  
16 adequate protections to Class Members and provides the basis for the Court to make an informed  
17 decision regarding approval of the Settlement based on the responses of Class Members. The Court  
18 finds and determines that the notice provided in this case was the best notice practicable, which satisfied  
19 the requirements of law and due process. The Class Notice is attached hereto as **Exhibit 2**. The Postcard  
20 Notice is attached hereto as **Exhibit 3**. The Request for Exclusion Form is attached hereto as **Exhibit 4**.  
21 The FLSA Opt-In Claim Form is attached hereto as **Exhibit 5**. The Supplemental Notice is attached  
22 hereto as **Exhibit 6**.

23 2. The Court finds and determines that the Classes, as conditionally certified by the  
24 Preliminary Approval Order meet all of the legal requirements for class certification for settlement  
25 purposes only under F.R.C.P 23(a) and 23(b)(3) and it is hereby ordered that the classes are finally  
26 certified for settlement purposes.

27 3. The Court further finds and determines that the terms of the Settlement are fair,  
28 reasonable, and adequate to the Classes and to each Class Member, and that the Class Members who did

1 not timely submit valid Requests for Exclusion in accordance with the Settlement Agreement and the  
2 Preliminary Approval Order will be bound by the Settlement, that the Settlement is ordered finally  
3 approved, and that all terms and provisions of the Settlement should be and hereby are ordered to be  
4 consummated. A list of those individuals who have requested to be excluded is attached hereto as

5 **Exhibit 7.**

6 4. The Settlement Agreement provides the following release:

7 Pursuant to the Settlement Agreement, “Released Claims” shall mean all known and  
8 unknown claims, demands, rights, liabilities, and causes of action that were asserted (whether in  
9 tort, contract, or otherwise), based upon the factual allegations in the Actions as follows:

10 Wage and Hour Claims: failure to provide meal periods (Lab. Code §§ 204, 223, 226.7,  
11 512 and 1198); failure to provide rest periods (Lab. Code §§ 204, 223, 226.7, and  
12 1198); failure to pay hourly wages (Lab. Code §§ 223, 510, 1194, 1194.2, 1197, 1997.1,  
13 and 1198); failure to provide accurate written wage statements (Lab. Code § 226(a));  
14 failure to timely pay all final wages (Lab. Code §§ 201-203); unfair competition (Bus.  
15 and Prof. Code §§ 17200, *et seq.*); civil penalties (Lab. Code §§ 2698, *et seq.*); and failure  
16 to pay California employees for all hours worked under the FLSA (29 U.S.C. § 201, *et*  
17 *seq.*)

18 Background Check Claims: (“Released FCRA/CCRAA/ICRAA Claims”): violation of the  
19 FCRA pursuant to 15 U.S.C. 1681b(b)(2)(A); violation of the FCRA pursuant to 15  
20 U.S.C. §1681b(b)(2)(A); violation of the FCRA pursuant to 15 U.S.C. section 1681(a)(1)  
21 and g(c); violation of the ICRAA (California Civ. Code § 1786 *et seq.*); violation of the  
22 CCRAA (California Civ. Code § 1785 *et seq.*); and unfair competition (Bus. and Prof.  
23 Code §§ 17200,*et seq.*)

24 (Settlement Agreement § 31.)

25 5. Without affecting the finality of this order in any way, the Court retains jurisdiction of all  
26 matters relating to the interpretation, administration, implementation, effectuation and enforcement of  
27 this order and the Settlement.

28

1           6.       Nothing in this order will preclude any action to enforce the parties' obligations under the  
2 Settlement or under this order, including the requirement that Genco make payments to the Class  
3 Members in accordance with the Settlement.

4           7.       Upon completion of administration of the Settlement, the Settlement Administrator will  
5 provide written certification of such completion to the Court and counsel for the parties.

6           8.       The parties are hereby ordered to comply with the terms of the Settlement.

7           9.       The Court hereby enters final judgment in accordance with the terms of the Settlement,  
8 the Order Granting Preliminary Approval of Class Action Settlement filed on August 13, 2018, and this  
9 order.

10          10.       This document shall constitute a final judgment (and a separate document constituting the  
11 judgment) for purposes of Rule 58, Federal Rules of Civil Procedure.

12          11.       The Parties will bear their own costs and attorneys' fees except as provided herein.

13          12.       The Court **GRANTS** Plaintiff's request for \$787,500 in fees (25% of the Settlement  
14 Amount) and \$16,809.70 in costs. The Court has reviewed counsel's time records and lodestar as a  
15 cross-check on the reasonableness of the attorneys' fees portion of the settlement fund and finds the fees  
16 requested to be reasonable. The Court likewise has reviewed the costs submitted and finds those costs to  
17 be reasonable. The Court **ORDERS** these amounts to be paid to Plaintiff's counsel from the Settlement  
18 Amount.

19          13.       The Court **ORDERS** the Settlement Administrator to be paid \$55,000 from the Settlement  
20 Amount.

21          14.       The Court finds that Plaintiff's requested service award of \$5,000 is reasonable and  
22 **ORDERS** that it be paid from the Settlement Amount.

23          15.       Except as provided herein, it is **ORDERED, ADJUDGED, AND DECREED** that the above-  
24 captioned actions are **DISMISSED WITH PREJUDICE**. The Clerk shall enter judgment immediately.

25               **IT IS SO ORDERED.**

26       Date:    April 23, 2019  
27               \_\_\_\_\_

  
YVONNE GONZALEZ ROGERS  
UNITED STATES DISTRICT JUDGE

# **EXHIBIT “1”**

1 Jeremy T. Naftel, State Bar No. 185215  
jnaftel@cdflaborlaw.com  
2 Nicole A. Legrottaglie, State Bar No. 271416  
nlegrottaglie@cdflaborlaw.com  
3 CAROTHERS DISANTE & FREUDENBERGER LLP  
900 University Avenue, Suite 200  
4 Sacramento, California 95825  
Telephone: (916) 361-0991  
5 Facsimile: (916) 570-1958

6 Attorneys for Defendant  
GENCO I, INC.

7

8

**UNITED STATES DISTRICT COURT**

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**NORTHERN DISTRICT OF CALIFORNIA**

10 ADAN ORTIZ,

11 Plaintiff,

12 vs.

13 GENCO I, INC., a Delaware corporation;  
14 KRAFT HEINZ FOOD COMPANY, a  
Pennsylvania corporation; and DOES 1-50,  
inclusive,

15 Defendants.

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) Case No. 4:16-CV-04601 YGR and 4:17-CV-  
) 03692-YGR

) **AMENDED JOINT STIPULATION OF**  
) **CLASS ACTION SETTLEMENT AND**  
) **RELEASE**

) Action Filed: June 24, 2016

**AMENDED JOINT STIPULATION OF CLASS  
ACTION SETTLEMENT AND RELEASE**

1 Plaintiff Adan Ortiz (“Plaintiff”) and Defendant FEDEX SUPPLY CHAIN, INC.  
2 (“Defendant;” formerly GENCO I, Inc.)<sup>1</sup> hereby enter into the following Stipulation re Class  
3 Action Settlement and Release of Claims (“Settlement”). Once approved by the Court, the  
4 Settlement shall be binding on Ortiz, Defendant, and on the Settlement Classes that Ortiz represents  
5 in each of the Actions, under the terms stated herein.

6 **STIPULATION**

7 **A. DEFINITIONS**

8 1. “Actions” shall mean the following cases filed by Plaintiff:

9 First Filed Action: *Ortiz v. GENCO* (Case No. 4:16-cv-04601-YGR) pending in the United  
10 States District Court for the Northern District of California (“First Filed Action”)

11 Second Filed Action: *Ortiz v. Volt Management, Corp. and GENCO* (Case No. 4:16-cv-  
12 07096-YGR) which was initially filed in the United States District Court for the Northern District  
13 of California but is now in arbitration before the American Arbitration Association (“AAA”)  
14 (“Second Filed Action”).

15 Third Filed Action: *Ortiz v. GENCO* (Case No. 3:17-cv-03692-JST) pending in the United  
16 States District Court for the Northern District of California (“Third Filed Action”)

17 2. “Additional FLSA Payment” shall mean an additional amount paid to each California  
18 Class Member who has an FLSA claim who submits Consent to Join Form. Specifically, their  
19 Individual Settlement Payment will be increased by 25%.

20 3. “Administration Costs” shall mean the Claims Administrator fees charged by the  
21 Claims Administrator which are estimated to not be in excess of fifty five thousand dollars  
22 (\$55,000.00).

23 4. “Agreement” shall refer to this document and all of the exhibits hereto. Each such  
24 exhibit is incorporated by reference as though fully set forth herein.

25  
26  
27 <sup>1</sup> GENCO I, Inc. changed its name to FedEx Supply Chain, Inc. effective January 9, 2017  
28



1 through the date of preliminary approval for class members who are outside California and May 19,  
2 2010 through the date of preliminary approval for class members who are within California.

3 9. “Complaints” shall mean the Operative Complaints (“Complaints”) as follows:

4 First Filed Action: *Ortiz v. Genco* (Case No. 4:16-cv-04601-YGR): Plaintiff’s First  
5 Amended Complaint alleging individual, class, collective, and representative claims for (1) failure  
6 to provide meal periods (Lab. Code §§ 204, 223, 226.7, 512 and 1198); (2) failure to provide rest  
7 periods (Lab. Code §§ 204, 223, 226.7, and 1198); (3) failure to pay hourly wages (Lab. Code  
8 §§ 223, 510, 1194, 1194.2, 1197, 1997.1, and 1198); (4) failure to provide accurate written wage  
9 statements (Lab. Code § 226(a)); (5) failure to timely pay all final wages (Lab. Code §§ 201-203);  
10 (6) unfair competition (Bus. and Prof. Code §§ 17200, *et seq.*); (7) failure to pay employees for all  
11 hours worked under the FLSA (29 U.S.C. § 201, *et seq.*); and (8) civil penalties (Lab. Code  
12 §§ 2698, *et seq.*).

13 Second Filed Action: *Ortiz v. Volt Management, Corp. and GENCO* (Case No. 4:16-cv-  
14 07096-YGR): Plaintiff’s Complaint alleging individual and class claims for (1) failure to pay  
15 hourly wages (Lab. Code §§ 223, 510, 1194, 1194.2, 1197, 1997.1, and 1198); (2) failure to  
16 provide accurate written wage statements (Lab. Code § 226(a)); (3) failure to timely pay all final  
17 wages (Lab. Code §§ 201-203); (4) unfair competition (Bus. and Prof. Code §§ 17200, *et seq.*); and  
18 (5) failure to pay employees for all hours worked under the FLSA (29 U.S.C. § 201, *et seq.*).

19 Third Filed Action: *Ortiz v. GENCO* (Case No. 3:17-cv-03692-JST): Plaintiff’s Complaint  
20 alleging individual and class claims for (1) violation of the FCRA pursuant to 15 U.S.C. section  
21 1681b(b)(2)(A); (2) violation of the FCRA pursuant to 15 U.S.C. section 1681(a)(1) and g(c); (3)  
22 violation of the ICRAA (California Civ. Code § 1786 *et seq.*); (4) violation of the CCRAA  
23 (California Civ. Code § 1785 *et seq.*); and (5) unfair competition (Bus. and Prof. Code §§ 17200, *et*  
24 *seq.*)

25 10. “Consent to Join and Claim Form for California Class” means the form,  
26 substantially in the form attached hereto as Exhibit A, which the Claims Administrator shall send to  
27 Class Members who are members of the California Class with FLSA claims.

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1 11. "Costs of Suit" shall mean all past, present and future costs incurred by Plaintiff  
2 and/or Plaintiff's Counsel in litigating the Actions as the Court may authorize to be paid to  
3 Plaintiff's Counsel.

4 12. "Court" shall mean the United States District Court for the Northern District of  
5 California.

6 13. "Effective Date" means the latest of the following: (a) if no Class Member makes an  
7 objection to the Settlement, the date the Court grants final approval to the Settlement; (b) if a Class  
8 Member objects to the Settlement, the later of: (i) dismissal or withdrawal of the objection by the  
9 Class Member; (ii) the passage of the date for seeking appellate review of the Court's final  
10 approval of the Settlement without a timely request for review; (iii) the date a Class Member's  
11 appeal from the Court's final approval of the Settlement has been voluntarily dismissed; or (iv) an  
12 appellate court has rendered a final judgment on a Class Member's appeal affirming the Court's  
13 final approval of the Settlement without material modification..

14 14. "Fee and Expense Award" shall mean such award of attorneys' fees and costs of suit  
15 as the Court may authorize to be paid to Plaintiff's Counsel for the services they have rendered to  
16 the Plaintiff and the Classes in the Action.

17 15. "Individual Settlement Payment" shall mean the amount that each California Class  
18 Member is entitled to receive from the Settlement Payment after deduction of Administration Costs,  
19 the PAGA Payment to be paid to the State of California Labor and Workforce Development  
20 Agency, the Fee and Expense Award, and the Ortiz Service Payment and Payroll Taxes.

21 16. "Individual Payment to Ortiz" shall mean the amount paid to Ortiz for the Release  
22 of his Individual Claims against FedEx, as alleged in the Second Filed Case. This amount is  
23 \$15,000 and is in exchange for a no rehire agreement and general release of claims. This Individual  
24 Payment to Ortiz is subject to court approval.

25 17. "Judgment" shall mean an order and judgment issued by the Court, approving this  
26 Agreement as binding upon the Parties, which Judgment shall constitute a final judgment  
27 respecting the Parties.

28 18. "Mediator" shall refer to Stephen J. Sundvold (Ret.).





1 33. "Settlement Class Members" and "Settlement Class" shall mean all Class Members  
2 who have not excluded themselves from the Settlement in accordance with the requirements set  
3 forth in the Notice and who have received written Notice.

4 34. "Settlement Payment" or "Settlement Fund" shall mean the total of Three Million  
5 One Hundred and Fifty Thousand Dollars (\$3,150,000.00) paid by FedEx as described in this  
6 Agreement.

7 35. "Ortiz Service Payment" shall mean the amount to be paid to Plaintiff Adan Ortiz  
8 as consideration for the services he rendered to the Classes in the Actions.

9 **RECITALS**

10 36. **Background of Matters.**

11 First Filed Action: *Ortiz v. Genco* (Case No. 4:16-cv-04601-YGR): On June 24, 2016, Ortiz  
12 filed a putative class action complaint against Defendant and Kraft Heinz Food Company in  
13 Alameda Superior Court.<sup>2</sup> Plaintiff amended his complaint ("FAC") on July 27, 2016. Plaintiff's  
14 FAC alleges claims for (1) failure to provide meal periods; (2) failure to provide rest periods; (3)  
15 failure to pay hourly wages; (4) failure to provide accurate written wage statements; and (5) failure  
16 to timely pay all final wages, in addition to a claim for (6) unfair competition; (7) failure to pay  
17 employees for all hours worked under the Fair Labor Standards Act ("FLSA"); and (8) civil  
18 penalties under PAGA. Each of Plaintiff's claims, except his sixth claim for unfair competition  
19 and seventh claim for failure to pay for all hours worked under the FLSA, allege violations of the  
20 California Labor Code. Defendant removed this action to the U.S. District Court, Northern District  
21 on August 12, 2016.

22 Second Filed Action: *Ortiz v. Volt Management, Corp. and GENCO* (Case No. 4:16-cv-  
23 07096-YGR) On December 13, 2016, Plaintiff filed a putative class action complaint against Volt  
24 Management Corp. and Defendant in the U.S. District Court, Northern District. Plaintiff's  
25 complaint alleges claims for (1) failure to pay hourly wages; (2) failure to provide accurate written  
26 wage statements; (3) failure to timely pay all final wages; (4) unfair competition; and (5) failure to

27 <sup>2</sup> Kraft Heinz was voluntarily dismissed from this action on December 29, 2016.  
28

1 pay employees for all hours worked under the FLSA. Each of Plaintiff's claims, except his fifth  
2 claim for failure to pay for all hours worked under the FLSA, alleges violations of the California  
3 Labor Code. On February 3, 2017, Volt filed a motion to compel arbitration, which Defendant  
4 joined on March 3, 2017. The court granted Volt's motion to compel arbitration on May 11, 2017  
5 and granted Defendant's joinder to Volt's motion to compel arbitration on June 2, 2017. The court  
6 stayed the entire litigation pending the completion of arbitration proceedings.

7 Third Filed Action: Ortiz v. GENCO (Case No. 3:17-cv-03692-JST): On May 19, 2017,  
8 Plaintiff filed a putative class action complaint against Defendant in Alameda Superior Court.  
9 Plaintiff's complaint alleges claims for (1) violation of the FCRA pursuant to 15 U.S.C. section  
10 1681b(b)(2)(A); (2) violation of the FCRA pursuant to 15 U.S.C. section 1681(a)(1) and g(c); (3)  
11 violation of the ICRAA (California Civ. Code § 1786 *et seq.*); (4) violation of the CCRAA  
12 (California Civ. Code § 1785 *et seq.*); and (5) unfair competition (Bus. and Prof. Code §§ 17200, *et*  
13 *seq.*). Defendant removed this action to the U.S. District Court, Northern District on June 28,  
14 2017.

15 37. **Mediation.** The Parties attended and participated in a mediation session before the  
16 Mediator on September 1, 2017, which resulted in this proposed settlement.

17 38. **Plaintiff's Claims.** Plaintiff has claimed, and continues to claim, that his contentions  
18 in each of the Actions have merit and give rise to FedEx's liability. Nothing in this Agreement, the  
19 documents referenced in this Agreement, nor any action taken to carry out this Agreement is, or may  
20 be construed as or may be used as, an admission by or against the Plaintiff as to the merits or lack  
21 thereof of the claims he asserted.

22 39. **Denial of Wrongdoing.** FedEx denies all of the claims, contentions, and  
23 each and every allegation made by the Plaintiff. In addition, nothing herein shall be deemed to  
24 waive any of FedEx's objections and defenses to class certification or any other issue relating to or  
25 arising from the allegations set forth in the Complaint, as amended.

26 40. **Inadmissibility of This Agreement.** Whether or not the Settlement is finally  
27 approved, and except and to the extent that this Agreement becomes a release of claims on the  
28 Effective Date, as provided for herein, neither the Settlement, nor any of its terms, nor any

1 document, statement, proceeding or conduct related to this Agreement, nor any reports or accounts  
2 thereof, shall in any event be:

- 3 (a.) construed as, offered or admitted in evidence as, received as, or deemed to  
4 be evidence for any purpose adverse to the Parties, including, but not limited  
5 to, evidence of a presumption, concession, indication or admission by any of  
6 the Parties of any liability, fault, wrongdoing, omission, concession or  
7 damage; or  
8 (b.) disclosed, referred to, or offered or received in evidence against any of the  
9 Parties, in any further proceeding in the Actions, or any other civil, criminal  
10 or administrative Action or proceeding except for purposes of settling the  
11 Actions pursuant to the terms of this Agreement.

12 41. **Investigation, Discovery, and Research.** Plaintiff conducted significant  
13 investigation of the facts and law both before and after the Actions were filed. Moreover, after the  
14 lawsuits were filed the parties engaged in extensive formal and informal discovery and  
15 investigations; review and analysis of time worked, work performed, and wage records by counsel  
16 and experts; exchange of mediation briefs and materials; and interviews of potential witnesses.  
17 Counsel for the Parties have further researched and analyzed the applicable law as applied to the  
18 facts discovered regarding Plaintiff's claims, the defenses thereto, and the damages claimed by  
19 Plaintiff.

20 42. **Plaintiff's Reasons for Settlement.** Plaintiff has considered the expense and length  
21 of continued proceedings necessary to continue the Actions against FedEx through trial and any  
22 possible appeals. Plaintiff has also taken into account the uncertainty and risk of the outcome of  
23 further litigation, and the difficulties and delays inherent in such litigation. Plaintiff is also aware of  
24 the burdens of proof necessary to establish liability for the claims asserted in the Actions, FedEx's  
25 defenses thereto, and the difficulties in establishing damages. Based on the foregoing and on the  
26 advice of Plaintiff's Counsel, Plaintiff has determined that the Settlement set forth in this  
27 Agreement is fair, adequate, and reasonable, and is in the best interests of the Class Members.  
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1 proposed Class Notice, preliminarily approving costs of administration payable to the Claims  
2 Administrator, enjoining Plaintiff and all Class Members from filing or prosecuting any claims,  
3 suits or administrative proceedings (including filing claims with the California Division of Labor  
4 Standards Enforcement) regarding claims released by the Settlement unless and until such Class  
5 Members have filed a valid request for exclusion with the Claims Administrator and the time for  
6 filing claims with the Claims Administrator has elapsed and setting a date for the Final Approval  
7 Hearing.

8       46.     **Notice, Claim, Exclusion and Objection Procedures.**

9             (a.)     Within fifteen (15) business days following the Court’s entry of an Order  
10                    Granting Preliminary Approval of the Settlement, Defendant shall provide to  
11                    the Settlement Administrator a database or spreadsheet listing the name, last  
12                    known home address, social security number and the number of workweeks  
13                    (rounded up to the nearest whole number) worked during the Release Period  
14                    for each Class Member (the “Class List”).

15             (b.)     Within 10 days after receiving the Class List from Defendant, the Settlement  
16                    Administrator shall send Class Members by first class mail documents  
17                    comprising a Notice Packet as follows:

- 18             i.       Class Members who are members of the California Class who have  
19                    FLSA claims shall be sent a Notice Packet containing the FLSA and  
20                    California Notice and the Consent to Join and Claim Form.
- 21             ii.     Class Members who are members of the California Class but who do  
22                    not have FLSA claims shall be sent a Notice Packet containing the  
23                    California Notice.
- 24             iii.    Class Members who are members of the FCRA/CCRAA/ICRAA  
25                    Class shall be sent a Notice Packet, in the form of a postcard notice,  
26                    with information on how to access the long form Notice on the  
27                    settlement administrator website.

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1 (c.) The Claims Administrator shall use reasonable standard skip tracing devices  
2 as necessary to verify the accuracy of all addresses before the initial mailing  
3 date to ensure that Notice Packets are sent to all Class Members at the  
4 addresses most likely to result in immediate receipt of those documents. It  
5 shall be conclusively presumed that any Notice Packet so mailed and not  
6 returned as undeliverable within thirty (30) days of the mailing shall have  
7 been received by the Class Member. With respect to returned Notice  
8 Packets, the Claims Administrator shall use reasonable diligence to obtain a  
9 current address and re-mail to such address.

10 (d.) Class Members shall have 60 days from the initial mailing of Notice Packets  
11 to submit a Consent to Join and Claim Form. 30 days after the initial  
12 mailing of Notice Packets, the Claims Administrator shall send a reminder  
13 postcard to all Class Members who have FLSA claims who have not yet  
14 submitted a Consent to Join and Claim Form, to remind them of the  
15 deadline for submitting a Consent to Join and Claim Form. A Class Member  
16 who has FLSA claims who fails to submit a valid and timely Consent to Join  
17 and Claim Form shall not be entitled to receive the Additional FLSA  
18 Payments under the Settlement.

19 (e.) Class Members who are members of the California Class may request  
20 exclusion from the Settlement by sending a letter to the Claims  
21 Administrator advising that they do not wish to participate in, or wish to “opt  
22 out” from, the Settlement. Class Members who are members of the  
23 California Class shall have 60 days from the initial mailing of Notice Packets  
24 to send a request for exclusion letter to the Claims Administrator. A Class  
25 Member who is a member of the California Class who fails to submit a  
26 timely request for exclusion letter shall be bound by the release and final  
27 judgment effectuated by this Settlement whether or not that Class Member  
28 properly submits a claim for settlement benefits under this Settlement. In the

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event a Class Member who is a member of the California Class submits both a Consent to Join and Claim Form and a request for exclusion letter, the Consent to Join Form will govern and the request for exclusion letter will be deemed null and void.

(f.) Class Members who are members of the FCRA/CCRAA/ICRAA Class may request exclusion from the Settlement by sending a letter to the Claims Administrator advising that they do not wish to participate in, or wish to “opt out” from, the Settlement. Class Members who are members of the FCRA/CCRAA/ICRAA Class shall have 60 days from the initial mailing of Notice Packets to send a request for exclusion letter to the Claims Administrator. A Class Member who is a member of the FCRA/CCRAA/ICRAA Class who fails to submit a timely request for exclusion letter shall be bound by the release and final judgment effectuated by this Settlement whether or not that Class Member properly submits a claim for settlement benefits under this Settlement.

(g.) Class Members who are members of the California Class who have FLSA Claims shall not be bound by the release of any claims under the FLSA effectuated by this Settlement unless they submit a valid and timely Consent to Join Form or Consent to Sue and Claim Form. A Class Member who is a member of the California Class and who has FLSA claims, however, will be bound by the release of any claims under California law effectuated by this Settlement unless he or she timely submits a letter requesting exclusion from the Settlement.

(h.) If disputes about the validity or timeliness of any submitted Consent to Join Form, or request for exclusion letter arise, the Parties shall meet and confer. If the Parties cannot resolve the dispute, the Claims Administrator shall make a final and binding determination as to whether the disputed Consent

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to Sue Form, Claim Form or request for exclusion letter shall be deemed valid.

(i.) If 10% or more of Class Members submit a timely request for exclusion letter, Defendant at its sole option may abrogate the Settlement, in which case the Settlement shall be null and void. Defendant shall exercise this right within ten (10) days after notification by the Claims Administrator of the total number of Class Members who submitted a valid letter requesting exclusion.

(j.) Class Members shall have 60 days from the initial mailing of the Notice Packet by the Claims Administrator to submit any objections to the Settlement and advise of their desire to appear at the Final Fairness Hearing. The applicable notice document in each Notice Packet shall include specific instructions to Class Members for submitting objections.

47. **No Solicitation of Objections or Exclusions.**

The Parties agree to use their best efforts to carry out the terms of this Settlement. Neither the Parties nor their counsel or agents will contact Class Members for the purpose of attempting to influence them to participate or not to participate in this Settlement. However, if contacted by a Class Member, FedEx’s Counsel and Plaintiff’s Counsel may provide accurate information or assistance regarding any aspect of the Settlement requested by the Class Member. At no time shall any of the Parties or their counsel or their agents solicit or otherwise encourage Class Members or any other persons (including but not limited to the State of California Labor & Workforce Development Agency) to submit written objections to the Settlement, consent or not consent to sue, requests for exclusion from the Settlement, or encourage Class Members or any other person to appeal from the Judgment.

**FINAL APPROVAL AND JUDGMENT**

48. **Final Approval Hearing.** After Preliminary Approval, notice to the Class and an opportunity for objection, a Final Approval Hearing shall be held on a date set by the Court. In connection with the Final Approval Hearing, the Parties shall file such papers with the Court as

1 either their counsel or the Court may determine to be necessary. Plaintiff's Counsel shall file proof  
2 of Notice before the Final Approval Hearing.

3 49. **Prior to the Final Approval Hearing.** Plaintiff's Counsel will prepare and, after  
4 review and approval by Defendant, submit to the Court a proposed final order and Judgment:

- 5 (a.) Approving the Settlement, adjudging the terms thereof to be fair, reasonable  
6 and adequate, and directing consummation of its terms and provisions;  
7 (b.) Approving Plaintiff's Counsel's application for an award of attorneys' fees  
8 and reimbursement of costs, including the Claims Administrator's fees;  
9 (c.) Approving the Service Payment to Plaintiff; and  
10 (d.) Permanently barring and enjoining all members of the Settlement Class from  
11 prosecuting against the parties released in this Settlement any individual or  
12 class, collective or representative claims released, upon satisfaction of all  
13 payments and obligations in this Settlement Agreement.

14 50. **Judgment.** After Final Approval of the Settlement, the Parties shall obtain entry of  
15 a Judgment. It is expressly agreed by the Parties that the Court will retain jurisdiction to enforce the  
16 terms of this Agreement and the Judgment. Upon the completion of all distributions in accordance  
17 with the terms of the Judgment, Plaintiff's Counsel shall prepare, file and serve on FedEx's counsel  
18 an Acknowledgement of Satisfaction of the Judgment in full.

19 51. **Disputes.** If a Class Member disputes the time period listed on the Class Notice,  
20 the Class Member may produce evidence to the Claims Administrator indicating the dates contended  
21 to have been worked during the applicable Class Period. FedEx's records will be presumed  
22 determinative, but the Claims Administrator will evaluate the evidence submitted by the Class  
23 Member and FedEx, and make the final decision as to which dates should be applied, subject to the  
24 right of FedEx or the Class Member to appeal the decision to the Court.

25 **CONDITIONS OF SETTLEMENT, EFFECT OF DISAPPROVAL,**  
26 **CANCELLATION AND TERMINATION**

27 52. **Nullification of Agreement.**

- 28 (a.) The Parties expressly agree that, in the event:

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- (i) the persons opting out of the Settlement equal or exceed either 10% of the number of Settlement Class Members or if the potential Individual Settlement Payments of the persons opting out equal or exceed 10% of the Net Settlement Fund then FedEx in its sole discretion may choose to nullify the Settlement;
- (ii) If the State of California or United States any agency or department or authority of the State of California or of the United States objects to the proposed Settlement or commences any proceedings to recover any additional fines, penalties, damages or monetary or non-monetary relief against FedEx based on any of the operative facts or claims alleged in the Complaint at any time prior to the Final Approval of the Settlement and entry of Judgment.

53. **Consideration from FedEx.** In full settlement of the claims asserted in the Action and all Released Claims, FedEx shall pay a maximum gross settlement amount of Three Million One Hundred and Fifty Thousand Dollars (\$3,150,000.00) as the Settlement Payment. The Settlement Payment in the Actions have five components: (1) the Individual Settlement Payments (excluding Employer’s Taxes); (2) the Fee and Expense Award; (3) the Ortiz Service Payment; (4) the Ortiz Individual Payment for his individual release of claims in the Second Filed Action; (5) the PAGA Payment; and (6) the Administration Costs.

54. **Gross Settlement Fund.** Defendant shall deposit the Settlement Payment into an interest bearing account (the “Gross Settlement Fund”) controlled by the Claims Administrator within ten (10) Court days after Final Approval. If this Agreement is nullified for any reason pursuant to § 57, then the Claims Administrator will return the Settlement Payments to FedEx.

55. **Net Settlement Fund.** The settlement funds available for distribution to Participating Class Members from the Settlement Payment in the Gross Settlement Fund shall be calculated by deducting from the Settlement Payment: (1) the Attorney Fee and Expense Award



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have been entitled to. To accomplish this, their workweeks will be increased by 25% from the workweeks actually worked.

(b.) Subject to Paragraph 64(a), the gross amount of Settlement Benefits payable to an individual Class Member in the California Class (i.e. before deductions for income taxes) shall be calculated by multiplying the Settlement Benefit by Workweek by the total number of workweeks (rounded up to the nearest whole number) worked by the Class Member during the Class Period.

(c.) FCRA/CCRAA/ICRAA Class: Each Class Member who does not request exclusion shall receive a share of 50% of the Net Settlement Amount, which shall be divided by the total number of Class Members during the Class Period.

58. **Difficulty of Determining Claims.** The Parties recognize and agree that the precise amounts of compensation claimed in this litigation are extremely difficult to determine with any certainty for any given year, if at all, and are subject to a myriad of different calculations and formulas. The Parties agree that the formula for allocating the Individual Settlement Payments to the Plaintiff and Participating Class Members provided herein is reasonable and that the payments provided herein are designed to provide a fair settlement to such persons, in light of the uncertainties regarding the compensation alleged to be owed to the Class and the calculation of such amounts.

59. **Distribution of Net Settlement Fund.** If no objections are filed, the Net Settlement Fund will be distributed to the Participating Class Members within ten (10) Court days after Final Approval. If objections are filed, but no appeals are filed, the Net Settlement Fund will be distributed within sixty-five (65) calendar days after Final Approval. If any objection(s) and unsuccessful appeal(s) are filed, the Net Settlement Fund will be distributed within ten (10) Court days after the resolution of any appeal.

(a.) Any check issued to any Participating Class Member shall remain valid and negotiable for one hundred, twenty (120) calendar days from the date of its

1 issuance but may thereafter automatically be canceled if not cashed by the  
2 Participating Class Member within that time, in which case such Participating  
3 Class Member's claim will be deemed null and void and of no further force  
4 and effect, but the affected Participating Class Member shall remain a  
5 member of the Settlement Class and shall be bound by all the terms of this  
6 Settlement Agreement and the Court's Judgment.

7 (b.) No person shall have any claim against the Parties or the Claims  
8 Administrator based on mailings, distributions and payments made in  
9 accordance with this Agreement or any order of the Court. The Claims  
10 Administrator is not and shall not be deemed to be an employee or agent  
11 of any Party.

12 60. **Fee and Expense Award and Costs of Suit.** Plaintiff's Counsel intends to request  
13 that the Court authorize and award attorneys' fees of up to One Million and Fifty Thousand Dollars  
14 (\$1,050,000) representing one third of the Gross Settlement Fund, and an award of the Costs of Suit  
15 of up to twenty thousand Dollars (\$20,000), both of which must be approved by the Court; and said  
16 amounts shall be included in and paid from the Gross Settlement Fund. The amounts paid in fees  
17 and expenses shall be for all claims for attorneys' fees and all costs and expenses past, present and  
18 future, incurred in the Action. The attorneys' fees are to compensate Plaintiff's Counsel for all the  
19 work already performed in the Action, and all the work remaining to be performed in obtaining  
20 Preliminary Approval and Final Approval of the Settlement, ensuring that the Settlement is fairly  
21 administered and implemented, and representing the Class with respect to any appeals in this  
22 Action.

23 (a.) The Fee and Expense Award referenced in this paragraph shall be paid by  
24 the Claims Administrator from the Gross Settlement Fund to Plaintiff's  
25 Counsel no later than ten (10) Court days after Final Approval, unless  
26 objections to the fee application have been filed, in which case payment shall  
27 be made sixty-five (65) calendar days after Final Approval if no appeal is  
28 filed, or, if an appeal is filed, ten (10) Court days after the resolution of any

1 unsuccessful appeal. All such attorneys' fees and expenses shall be paid  
2 solely from the Gross Settlement Fund, and FedEx shall not be required to  
3 otherwise pay any portion of such attorneys' fees and expenses. The fees  
4 and expenses shall be reported on an IRS 1099 Form by FedEx to the  
5 appropriate taxing authorities, with a copy to Plaintiff's Counsel.

6 (b.) Payment of the Fee and Expense Award to Plaintiff's Counsel shall  
7 constitute full satisfaction of any obligation to pay any amounts to any  
8 person, attorney or law firm for attorneys' fees, expenses or costs in the  
9 Actions incurred by any attorney on behalf of the Plaintiff or the  
10 Settlement Class, and shall relieve the Released Parties of any other claims  
11 or liability to any other attorney or law firm for any attorneys' fees,  
12 expenses and/or costs to which any of them may claim to be entitled on  
13 behalf of the Plaintiff and/or the Settlement Class. Upon receipt of the Fee  
14 and Expense Award, Plaintiff's Counsel will be deemed to have released  
15 the Released Parties from any and all claims resulting from the Action.  
16 Plaintiff's counsel further represents that there are no liens or other claims  
17 for attorneys' fees and costs asserted or reasonably capable of being  
18 asserted plausibly by any person or entity other than Plaintiff's Counsel.  
19 Plaintiff and Plaintiff's Counsel agree to indemnify, defend, and hold  
20 harmless the Released Parties against any liens or other claims for  
21 attorneys' fees and costs that are or may be later asserted by any person or  
22 entity other than Plaintiff's Counsel.

23 61. **Ortiz Service Payment.** The Parties intend to request that the Court authorize and  
24 award a service fee to the named Plaintiff, Adan Ortiz, in the amount of Five Thousand Dollars  
25 (\$5000), for his services to the Class Members. Said amount shall be included in and paid from the  
26 Gross Settlement Fund.

27 (a.) The Ortiz Service Payment referenced in this paragraph shall be paid by the  
28 Claims Administrator from the Gross Settlement Fund to Plaintiff's Counsel

1 no later than ten (10) Court days after Final Approval, unless objections to  
2 the payment have been filed, in which case payment shall be made sixty-five  
3 (65) calendar days after Final Approval if no appeal is filed, or, if an appeal  
4 is filed, ten (10) Court days after the resolution of any unsuccessful appeal.  
5 Any Ortiz Service Payment shall be paid solely from the Gross Settlement  
6 Fund, and FedEx shall not be required to otherwise pay any portion of such  
7 payment. The Ortiz Service Payment shall be reported on an IRS 1099 Form  
8 by FedEx to the appropriate taxing authorities, with a copy to Plaintiff's  
9 Counsel.

10 (b.) The payment to Plaintiff as provided in this paragraph in no way prohibits  
11 Plaintiff's receipt of an Individual Settlement Payment as a Participating  
12 Class Member.

13 62. **Administration Costs.** The Administration Costs estimated to be approximately  
14 fifty five thousand dollars (\$55,000) shall be paid from the Settlement Payment made by FedEx  
15 and deducted from the Gross Settlement Fund.

16 63. **FedEx's Legal Fees and Costs.** All of FedEx's own legal fees, costs and expenses  
17 incurred in the Actions shall be borne by FedEx, except for any administrative costs incurred as  
18 part of the Settlement administration tasks described above. Any Administration Costs shall be  
19 deducted from the Gross Settlement Fund.

20 64. **Parties' Cooperation in the Administration of the Settlement.** The Parties agree  
21 to cooperate in the Settlement administration process and to make all reasonable efforts to control  
22 and minimize the costs and expenses incurred in administration of the Settlement.

23 65. **Settlement Awards to the State of California and Class Members to Settle the**  
24 **Private Attorneys General Act (PAGA) Labor Code Section 2699 Claim.** To implement the  
25 terms of this Settlement, the Parties have allocated a total of One Hundred and Fifty Thousand  
26 Dollars (\$150,000.00) from the Gross Settlement Fund to the PAGA Payment. Labor Code section  
27 2699(i) requires that any settlement under this section is distributed as follows: Seventy-five percent  
28 (75%) to the State's LWDA for enforcement of labor laws and education of employers and twenty-

1 five percent (25%) to aggrieved employees. Therefore, One Hundred and Twelve Thousand Five  
2 Hundred Dollars (\$ 112,500.00) will be paid to the State. The remaining Thirty Seven Thousand  
3 Five Hundred Dollars (\$ 37,500.00) will be paid equally to Participating Class Members by adding  
4 to the Net Settlement Fund.. The PAGA Payment is in the nature of a statutory penalty, as provided  
5 for in Labor Code section 2699(i). These payments shall not be subject to withholding.

6       **66.     Tax Allocation.** The Individual Settlement Payment to each Participating Class  
7 Member shall be allocated as follows: For members of the California Class for any payment they  
8 receive as a member of the Class 50% shall be attributed to non-wage damages, including interest  
9 and penalties, to be reported on a 1099 Form; and 50% shall be attributed to wages, to be reported  
10 on a W-2 Form. For members of the FCRA/CCRAA/ICRAA Class for any payment they receive as  
11 a member of that class 100% shall be attributed to non-wage damages, including interest and  
12 penalties, to be reported on a 1099 Form. The Individual Settlement Payments will be reduced by  
13 any required legal deductions for each Class Member. All standard employee payroll deductions  
14 will be made for state and federal withholding taxes, including any other applicable payroll  
15 deductions owed by the Participating Class Members as a result of the wage component, resulting in  
16 a net wage component.” The Claims Administrator will issue a check and W-2 Form to each Class  
17 Member for the wage component. No withholding shall be made on the interest and penalty portions  
18 of the Individual Settlement Payment. The Claims Administrator will issue a second check and IRS  
19 Form 1099 for the remaining interest component and a third check and IRS Form 1099 for the  
20 remaining penalty component. The Claims Administrator shall be responsible for issuing the  
21 payments and calculating and withholding all required state and federal taxes. The Claims  
22 Administrator shall determine the eligibility for, and the amounts of, any Individual Settlement  
23 Payments under the terms of this Settlement Agreement. Any disputes not resolved by the Claims  
24 Administrator concerning the administration of the Settlement will be resolved by the Court, under  
25 the laws of the State of California. Prior to any such involvement of the Court, counsel for the  
26 Parties will confer in good faith to resolve the dispute without the necessity of involving the Court.

27       **67.     Notification and Certification by Claims Administrator.** The Claims  
28 Administrator shall keep counsel for the Parties apprised of the status of the distributions of

1 Individual Settlement Payments. Upon completion of administration of that portion of the  
2 Settlement, the Claims Administrator shall provide written certification of such completion to  
3 counsel for the Parties.

4 68. **Waiver of Liability.** No person shall have any claim against the Parties based on  
5 mailings, distributions and payments made, or any other action taken, in accordance with or  
6 pursuant to this Agreement or any order of the Court.

7 69. **No Tax Advice.** Neither Plaintiff's Counsel nor FedEx's Counsel intend anything  
8 contained herein to constitute legal advice regarding the taxability of any amount paid hereunder,  
9 nor shall it be relied upon as such. The tax issues for each Class Member are unique, and each  
10 Class Member is advised to obtain tax advice from his or her own tax advisor with respect to any  
11 payments resulting from the Individual Settlement Payments.

#### 12 RELEASE OF CLAIMS

##### 13 70. **Release by the Settlement Class Members.**

14 (a.) **California Class Members Release:** Each Class Member who is a member of  
15 the California Class who fails to submits a timely and valid letter requesting  
16 exclusion from the Settlement shall, upon the Effective Date, be deemed to  
17 have released any and all claims against Defendant and any former and  
18 present parent, subsidiary, and affiliated corporations, and their officers,  
19 directors, employees, partners, shareholders and agents, and any other  
20 successors, assigns, or legal representatives ("Released Parties"), from any  
21 and all claims, rights, demands, liabilities and causes of action under  
22 California law giving rise to potential liability for acts or omissions during the  
23 California Class Period which were or could have been raised as part of the  
24 Plaintiff's claims in the Action based on the facts alleged in the Complaint  
25 (the "Released California Claims and FLSA Claims"). As to the Released  
26 California Claims only, each Class Member who is a member of the  
27 California Class who fails to submit a timely and valid letter requesting  
28 exclusion from the Settlement waives all rights and benefits afforded by

1 Section 1542 of the California Civil Code (“Section 1542”). Section 1542  
2 provides: “A general release does not extend to claims which the creditor  
3 does not know or suspect to exist in his or her favor at the time of executing  
4 the release, which if known by him or her must have materially affected his  
5 or her settlement with the debtor.”

6 (b.) FCRA/CCRAA/ICRAA Class Members Release: Each Class Member who is  
7 a member of the FCRA/CCRAA/ICRAA Class who fails to submit a timely  
8 and valid letter requesting exclusion from the Settlement shall, upon the  
9 Effective Date, be deemed to have released any and all claims against  
10 Defendant and any former and present parent, subsidiary, and affiliated  
11 corporations, and their officers, directors, employees, partners, shareholders  
12 and agents, and any other successors, assigns, or legal representatives  
13 (“Released Parties”), from any and all claims, rights, demands, liabilities and  
14 causes of action under California or federal law giving rise to potential  
15 liability for acts or omissions during the FCRA/CCRAA/ICRAA Class Period  
16 which were or could have been raised as part of the Plaintiff’s claims in the  
17 Action based on the facts alleged in the Complaint (the “Released  
18 FCRA/CCRAA/ICRAA Claims”).

19 (c.) Ortiz’s Individual Release of Claims in Second Filed Action: Plaintiff, on an  
20 individual basis, expressly waives and relinquishes to the fullest extent  
21 possible the provisions, rights and benefits of California Civil Code Section  
22 1542 and any other similar statute for the alleged violations set forth in the  
23 Second Filed Action, *Ortiz v. Volt Management, Corp. and GENCO* (Case  
24 No. 4:16-cv-07096-YGR), against Defendant only, based upon the claims and  
25 allegations in the Complaint. The causes of action released from this Action  
26 are: failure to pay hourly wages (Lab. Code §§ 223, 510, 1194, 1194.2, 1197,  
27 1997.1, and 1198); failure to provide accurate written wage statements (Lab.  
28 Code § 226(a)); failure to timely pay all final wages (Lab. Code §§ 201-203);

1 unfair competition (Bus. and Prof. Code §§ 17200, *et seq.*); and failure to pay  
2 employees for all hours worked under the FLSA (29 U.S.C. § 201, *et seq.*).

3 (d.) Additional Attorney's Fees Released by Class Counsel: In consideration of  
4 an award of attorneys' fees, expenses and costs in accordance with this  
5 Settlement, Class Counsel waives any and all claims to any further attorney's  
6 fees and costs in connection with the Action.

7 71. The Individual Settlement Payments shall be paid to Participating Class Members  
8 specifically in exchange for the release of the Released Parties by Plaintiff and Settlement Class  
9 Members with regard to the Released Claims and for the covenant by Plaintiff and the Settlement  
10 Class Members not to sue or make a claim concerning the Released Claims. Plaintiff and the  
11 Settlement Class Members request all courts and government agencies of competent jurisdiction to  
12 approve and enforce their release as set forth in this agreement. The Settlement Class Members  
13 forever agree that they shall not accept wages, punitive damages, penalties of any nature, attorneys'  
14 fees and costs, or any other relief from any other suit, class or collective action, administrative  
15 claim or other claim of any sort or nature whatsoever against any of the Released Parties.

16 **ADDITIONAL MATTERS**

17 72. **The Agreement and Exhibits.** This Agreement includes the terms set forth herein  
18 and contained in Exhibits A-D, which are incorporated by reference herein and in the definition of  
19 "Agreement" as though fully set forth. All exhibits to this Agreement are an integral part of the  
20 Agreement.

21 73. **Headings and Captions.** The headings and captions contained in this Agreement  
22 are inserted for convenience and in no way define, limit, extend or describe the scope of this  
23 Agreement or the intent of any provision thereof.

24 74. **Interim Stay of Proceedings.** Pending the Final Approval Hearing to be conducted  
25 by the Court, or earlier if for any reason the settlement contemplated by this Agreement will not  
26 occur, the Parties agree to hold in abeyance all proceedings in the Action, except such proceedings  
27 as are necessary to implement and complete the Settlement, or to comply with any order of the  
28 Court.

1           75.     **Injunction against Duplicate Claims and Stay of Pending Proceedings.** Upon  
2 Preliminary Approval of the Agreement, the Class Members shall be enjoined from filing any  
3 related actions, claims, complaints, or proceedings in any state or federal court or with the  
4 California Department of Industrial Relations' Division of Labor Standards Enforcement ("DLSE")  
5 or the United States Department of Labor ("DOL"), or from initiating any other proceedings,  
6 regarding the Released Claims defined above until the Notice period has expired. Any related  
7 pending actions, claims, complaints, or proceedings in any state or federal court or with the DLSE  
8 or DOL, shall be stayed until the Class Members have had an opportunity to decide to participate,  
9 object or file a request for exclusion from the class settlement. This Agreement is conditioned upon  
10 the release by all Settlement Class Members as described above, and upon covenants by all  
11 Settlement Class Members that they will not participate in any actions, lawsuits, proceedings,  
12 complaints, or charges brought individually or by the California Division of Labor Standards  
13 Enforcement or by the U.S. Department of Labor, in any court or before any administrative body  
14 relating to the Released Claims, nor will Settlement Class Members oppose efforts by FedEx to  
15 oppose any attempt to bring such claims against FedEx or the Released Parties that are embodied  
16 by the Released Claims.

17           76.     **Public Comment.** Plaintiff and FedEx, and their respective counsel, recognize and  
18 accept that the Parties' desire that the terms of this Agreement, the fact of the settlement embodied  
19 in this Agreement, the disposition of the Action, and all matters relating to the litigation of the  
20 Action, including discovery and mediation proceedings therein, and evidence obtained during the  
21 course of this Action, shall not be discussed with or presented to the media. Accordingly, Plaintiff  
22 and Class Counsel shall not initiate or have any communications at all with the media, other than to  
23 direct the media to the public records of the Settlement on file with the Court, or post any  
24 advertisements or marketing information seeking other clients to represent in other lawsuits against  
25 FedEx, including on websites, regarding this Settlement and its terms. In addition, documents and  
26 information exchanged between the Parties in discovery, and information provided on an informal  
27 basis or during mediation, shall not be discussed with or presented to the media or any other third  
28 person at any time.

1           77.    **Amendment or Modification.** This Agreement may not be amended or modified in  
2 any respect except by a written instrument duly executed by all of the Parties to this Agreement or  
3 their counsel, and approved by the Court.

4           78.    **Entire Agreement.** This Agreement and its Exhibits constitute the entire  
5 Agreement of the Parties with respect to the matters discussed herein, and no oral or written  
6 representations, warranties or inducements have been made to any Party concerning this Agreement  
7 or its Exhibits other than the representations, warranties, and covenants contained and  
8 memorialized in such documents. All prior or contemporaneous negotiations, agreements,  
9 understandings, and representations, whether written or oral, are expressly superseded hereby and  
10 are of no further force and effect. Each of the Parties acknowledges that it has not relied on any  
11 promise, representation or warranty, express or implied, not contained in this Agreement.

12           79.    **Authorization to Enter into Settlement Agreement.** Each signatory to this  
13 Agreement hereby warrants and represents that he or she has the authority to execute this  
14 Agreement, thereby binding the respective party to take all appropriate action required or permitted  
15 to be taken by the Parties pursuant to this Agreement to effectuate its terms and to execute any  
16 other documents required to effectuate the terms of this Agreement. The Parties and their counsel  
17 agree to cooperate with each other and to use their best efforts to effect the implementation of this  
18 Agreement.

19           80.    **Binding Effect of the Agreement.** This Agreement shall be binding upon, and inure  
20 to the benefit of, the Parties and their respective heirs, legal representatives, executors,  
21 administrators, successors, and assigns.

22           81.    **Choice of Law.** In determining the rights of the Parties hereto, this Agreement shall  
23 be governed by, construed, and interpreted in accordance with the internal laws of the State of  
24 California, without regard to the conflict of laws principles thereof.

25           82.    **Counterparts.** This Agreement may be executed in one or more counterparts, each  
26 of which shall be an original, provided that counsel for the Parties shall exchange among  
27 themselves original signed counterparts. This Agreement is effective upon execution of at least one  
28 counterpart by each party to this Agreement.

1           83.     Representation by Counsel and Cooperation in Drafting. All of the Parties  
2 acknowledge that they have been represented by counsel throughout all negotiations and in the  
3 execution of this Agreement and that this Agreement has been executed with the consent and  
4 advice of counsel. In addition, each of the Parties has cooperated in the drafting and preparation of  
5 this Agreement. Hence, any rule which construes ambiguities against the drafter shall have no force  
6 or effect.

7           84.     Inadmissibility of Settlement Agreement. If this Agreement does not become  
8 effective or is cancelled or terminated for any reason, it shall be deemed negotiation for settlement  
9 purposes only and will not be admissible in evidence or usable for any purpose whatsoever in the  
10 Actions or any proceedings between the Parties except in connection with enforcing its terms.

11           85.     Invalidity of Any Provision. If any provision of this Agreement is held to be  
12 invalid, void or unenforceable, the remaining provisions nevertheless shall continue in full force  
13 and effect.

14           86.     Plaintiff's Waiver of Right to be Excluded and Object. By signing this Agreement,  
15 Plaintiff agrees to be bound by the terms herein, not to request to be excluded from the Settlement  
16 Class, and not to object to any of the terms of this Agreement. Any such request for exclusion or  
17 objection by the Plaintiff shall therefore be void and of no force or effect.

18           87.     Terminology and Construction. All personal pronouns used in this Agreement,  
19 whether used in the masculine, feminine, or neutral gender, shall include all other genders, and the  
20 singular shall include the plural and *vice versa*.

21           88.     Notices. Any notices or other documents that must or may be transmitted to  
22 Plaintiff's Counsel and/or FedEx's Counsel, pursuant to any section of this Agreement, shall be  
23 transmitted to each of the following:

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**For documents to Plaintiff's Counsel:**

Shaun Setareh, Esq.  
Setareh Law Group  
9454 Wilshire Blvd, Suite 907  
Beverly Hills, CA 90212  
Tel: (310) 888-7771  
Fax: (310) 888-0109

**For documents to FedEx's Counsel:**

Jeremy T. Naftel  
CAROTHERS DISANTE & FREUDENBERGER LLP  
900 University Avenue, Suite 200  
Sacramento, California 95825  
Telephone: (916) 361-0991  
Facsimile: (916) 570-1958

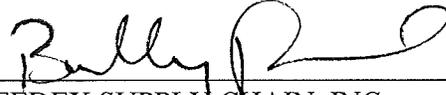
The Parties and all counsel acknowledge and agree that for the purposes of any claims, actions, and/or proceedings arising out of this Agreement, notice provided to Plaintiff's Counsel shall be deemed to be notice to the Plaintiff.

Dated: May 21, 2018

CAROTHERS DISANTE & FREUDENBERGER LLP

By:   
Jeremy T. Naftel  
Attorneys for Defendant  
FEDEX SUPPLY CHAIN, INC.

Dated: May 21, 2018

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By:   
FEDEX SUPPLY CHAIN, INC.

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Dated: <sup>6</sup>May 1, 2018

SETAREH LAW GROUP

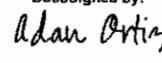
By:

  
Shaun Setareh

Attorneys for Plaintiff  
ADAN ORTIZ

Dated: May 31, 2018

By:

DocuSigned by:  
  
0420EA9DCB06446...

Adan Ortiz

# **EXHIBIT “2”**

**CLASS NOTICE**

*Ortiz v. Genco I. Inc.*

**Case No. 4:16-cv-04601-YGR and Case No. 4:17-cv-03692-YGR**

**United States District Court, Northern District of California**

*THIS IS A COURT AUTHORIZED NOTICE – NOT AN ATTORNEY SOLICITATION*

**IF YOU RECEIVED A POSTCARD NOTICE IN THE MAIL  
YOU ARE A MEMBER OF THE BACKGROUND CHECK CLASS**

**IF YOU RECEIVED THIS LONG FORM NOTICE IN THE MAIL  
YOU ARE A MEMBER OF THE WAGE AND HOUR CLASS**

**IF YOU RECEIVED BOTH NOTICES IN THE MAIL YOU ARE A MEMBER OF BOTH CLASSES**

**IF YOU BELIEVE YOU ARE A MEMBER OF BOTH CLASSES BUT DID NOT RECEIVE BOTH THE POSTCARD NOTICE AND THIS NOTICE PLEASE CONTACT THE ADMINISTRATOR AT ORTIZ V GENCO I, INC. SETTLEMENT ADMINISTRATOR, C/O RUST CONSULTING INC – 6242, PO BOX 54, MINNEAPOLIS, MN 55440-0054.**

**BACKGROUND CHECK CASE**

The total approximate settlement payment that you are individually estimated to receive is stated in the Postcard Notice that was sent to you.

**WAGE AND HOUR CASE**

The approximate settlement payment that you are individually estimated to receive will range from \$<<EstimatedAward1>> to \$<<EstimatedAward2>> depending upon how many people submit FLSA Opt-In Claim Forms. (As set forth below, people who submit FLSA Opt-In Claim Forms will be entitled to an increased settlement payment.

<b>YOUR LEGAL RIGHTS AND OPTIONS IN THIS CLASS ACTION LAWSUIT</b>	
<b>EXCLUDE YOURSELF (BACKGROUND CHECK CASE AND WAGE AND HOUR CASE)</b>	If you exclude yourself, you WILL NOT receive payment. You WILL have the option to file your own lawsuit regarding the claims resolved by this settlement or those that are sufficiently related. You WILL NOT be bound by the release explained in this notice below.
<b>OBJECT (BACKGROUND CHECK CASE AND WAGE AND HOUR CASE)</b>	If you do not exclude yourself, you may object to the settlement. If you object, you should write to the Court explaining why you do not agree with the settlement. The Court may or may not agree with your objection.
<b>DO NOTHING (BACKGROUND CHECK CASE)</b>	You do not need to do anything to receive money. Money will be automatically paid to you if you do not request exclusion. You WILL give up any rights to sue Genco I/ FedEx for the claims made in this Lawsuit or any that are sufficiently related. You WILL be bound by the release explained in this notice below.
<b>DO NOTHING (WAGE AND HOUR CASE)</b>	You do not need to do anything to receive money. Money will be automatically paid to you if you do not request exclusion. <b>However, if you have a claim under the federal Fair Labor Standards Act (which would apply if you worked at Genco I/ Fed Ex on or after June 24, 2013) and you return a FLSA Opt-In Claim Form opting into the settlement you will receive more money.</b>  You WILL give up any rights to sue Genco/ FedEx for the claims made in this Lawsuit or any that are sufficiently related. You WILL be bound by the release explained in this notice below.

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### **1. Why Should I Read This Notice?**

The purpose of this Notice is to inform you that your rights may be affected by the proceedings in two putative class action lawsuits (“Lawsuits”) against Fedex Supply Chain, Inc., formerly Genco I, Inc. (“Genco /FedEx”) . The Lawsuits are pending in the United States District Court for the Northern District of California. At this time, a settlement has been preliminarily approved by the Court. This Notice discusses the steps you may take to receive compensation in connection with the settlement.

Your options are explained in this Notice. If you have questions, you may call Setareh Law Group (“Class Counsel”) by dialing (310) 888-7771. You may also call (888) 227-1055. Please do not call the Court.

### **2. What Is This Case About?**

This case involves two Lawsuits, the Background Check Lawsuit (Case No. 4:17-cv-03692-YGR) and the Wage and Hour Lawsuit.( Case No. 4:16-cv-04601-YGR).

#### **Background Check Case**

- In the Background Check Lawsuit Adan Ortiz (“Plaintiff” or “Class Representative”) sued Genco I/ FedEx on May 19, 2017. Plaintiff alleged that the pre-employment background check that Genco I/ FedEx requested violated the federal Fair Credit Reporting Act, 15 U.S.C. §§ 1681 et seq., and California state law because he allegedly did not receive adequate pre-screening disclosures. He sought to bring claims both on his own behalf and on behalf of other Genco/ FedEx employees, as defined below.

#### **Wage and Hour Case**

- In the Wage and Hour Lawsuit Adan Ortiz sued Genco I/ FedEx on June 24, 2016 alleging violation of the California Labor Code including failure to provide meal and rest periods, failure to pay for all hours worked, failure to provide accurate wage statements, and failure to timely pay all final wages, and violation of the federal Fair Labor Standards Act for failure to pay employees for all hours worked. He sought to bring claims both on his own behalf and on behalf of other FedEx employees as set forth below.

### **Background Check Case and Wage and Hour Case**

- Genco/ FedEx denies all claims and asserts that it has not violated any relevant laws in connection with the Lawsuits.
- With the help of an experienced and well-respected mediator, the claims at hand have been settled. The Court has preliminarily approved the settlement.
- If you qualify as a class member, you are eligible to receive money from the settlement.
- Your rights and options are explained in this Notice.
- Deadlines to exercise your rights and options are also explained in this Notice.
- Your rights will be affected whether you act or not.
- The Court in charge of the case is the United States District Court for the Northern District of California.

### **3. Who is part of the Class?**

#### **Background Check Case**

The Background Check Lawsuit Class (“Background Check Class” is all of Genco I/ FedEx’s current, former and prospective applicants for employment and employees in the United States who had a background check conducted on them at any time between May 19, 2010 and August 13, 2018.

#### **Wage and Hour Case**

The Wage and Hour Lawsuit Class (“Wage and Hour Class”) is all current and former non-exempt employees employed by Genco I/ FedEx in the state of California at any time between June 24, 2012 and August 13, 2018.

### **4. Why did I receive this Notice?**

You were sent this Notice because you have a right to know about a potential settlement of a class action lawsuit that may affect your legal rights and entitle you to payment.

#### **Background Check Case**

If you are in Background Check Lawsuit Class Genco I/ FedEx’s records indicate that you applied for a job with FedEx and FedEx requested a background search report.

#### **Wage and Hour Case**

If you are in the Wage and Hour Lawsuit Class Genco I/ FedEx’s records indicate that you are or were employed by Genco I/ FedEx in California.

### **5. Why is there a Settlement?**

#### **Wage and Hour Case and Background Check Case**

After investigating the facts, claims, and defenses relevant to the Lawsuits, both sides agreed to a settlement. The Court did not decide in favor of either the Class Representative or Genco I/ FedEx (the “Parties”). Settlement was reached because the Parties believe that the amount agreed to is fair and reasonable in light of the strengths and weaknesses of the claims at hand and other factors. By agreeing to this settlement, both sides avoid the costs and risks of trial, and Class members who do not exclude themselves can receive compensation.

### **6. What does the Settlement provide?**

#### **Wage and Hour Case and Background Check Case**

The Settlement provides for a gross payment of \$3,150,000 (“Settlement Amount”) to be made by Genco I/ FedEx in exchange for a limited release (see Section 11 below for more details concerning the release) from all Class members, other than those who exclude themselves as instructed by this Notice. The release applies to any of the claims brought by the Class Representative in this Lawsuit or any claims that are sufficiently related to those brought by Class Representative in this Lawsuit.

Class Counsel will ask the Court for certain deductions from the Settlement Amount. Specifically, Class Counsel will ask the Court to approve: (1) attorneys' fees in an amount up to 25% of the Gross Settlement Fund, or up to \$787,500; (2) accrued litigation costs in an amount up to \$20,000; (3) a service award for the Class Representative, not exceeding \$5,000, as compensation for the risks, time, and expense of his involvement in this Lawsuit; (4) a \$15,000 Individual Payment to the Class Representative for the release of his claims (5) a payment to the State of California Labor Workforce Development Agency of \$112,500 and a (4) an estimated \$55,000 in administration fees for the Claims Administrator for the expense of notifying Class Members, processing exclusions, distributing settlement payments, and all other related duties.

Assuming the Court approves these deductions; \$2,155,000 would remain of the Settlement Amount to be available as a Net Settlement Fund for payments to Class members.

## **7. How much can I get from Settlement?**

### **Background Check Case**

If you are a member of the Background Check Class you will receive a pro rata share (an equal share per class member) of the 50% of the Net Settlement Fund.

### **Wage and Hour Case**

If you are a member of the Wage and Hour Class you will receive a pro rata share of 50 percent of the Net Settlement Fund based on the workweeks you worked at Genco I/ FedEx. If you worked at Genco I/FedEx on or after June 24, 2013 the number of workweeks used to calculate your pro-rata share will be increased by 25% if you submit the FLSA Opt-In Claim Form enclosed with this notice.

## **8. Do I have to pay taxes on the money I receive?**

### **Background Case**

For any payment that you receive as a member of the Background Check Class taxes will not be withheld from your settlement payment and you will be issued a 1099-MISC form. Class members are responsible for characterizing and paying the appropriate taxes due on the settlement amounts they receive.

### **Wage and Hour Check Case**

For any payment that you receive as a member of the Wage and Hour Class the payment will be characterized as wages and you will be issued a W-2 Form. The payment will be subject to employee payroll deductions including state and federal withholding taxes.

## **9. How can I get a payment?**

### **Wage and Hour Case**

You will get payment automatically if you do not request exclusion. However, if you are in the Wage and Hour Class and worked for Genco/ Fed Ex on or after June 24, 2013, you will get a larger payment if you submit the FLSA Opt-In Claim Form.

### **Background Check Case**

You will get payment automatically if you do not request exclusion.

## **10. When would I get my payment?**

### **Background Check Case and Wage and Hour Case**

The Court will hold a Final Approval hearing on or about April 2, 2019 at 2:00pm in Courtroom 1 – 4th Floor of the United States District Court for the Northern District of California, Oakland Courthouse, 1301 Clay Street, Oakland, CA 94612. If the Court approves the settlement, your Settlement payment will be mailed to you approximately 80 days after final approval unless there are appeals. If there are any appeals, you will receive payment (assuming the Settlement remains intact) after the appeals process.

**11. What rights do I give up if I do not exclude myself from this Lawsuit?**

**Background Check Case**

Unless you exclude yourself, you will be a part of the Settlement Class. In other words, you will not be able to sue, continue to sue (if applicable), or be part of any other lawsuit against Genco I/ FedEx that involves the claims alleged or is sufficiently related to the claims alleged in the Lawsuit. The release applies to any of the claims brought by the Class Representative in the Lawsuit or any claims that are sufficiently related to those brought by Class Representative in the Lawsuit.

Unless you exclude yourself, you will be bound by the judgment ordered by the Court in this Lawsuit, including the Release detailed below:

All known or unknown claims, demands, rights liabilities, and causes of action that were asserted (whether in tort, contract or otherwise), based upon the factual allegations in the Action as follows: violation of the FCRA pursuant to 15 U.S.C. 1681b(b)(2)(A); violation of the FCRA pursuant to 15 U.S.C. §1681b(b)(2)(A); violation of the FCRA pursuant to 15 U.S.C. section 1681(a)(1) and g(c); violation of the ICRAA (California Civ. Code § 1786 et seq.); violation of the CCRAA (California Civ. Code § 1785 et seq.); and unfair competition (Bus. and Prof. Code §§ 17200, et seq.)

**Wage and Hour Case**

Unless you exclude yourself, you will be a part of the Settlement Class. In other words, you will not be able to sue, continue to sue (if applicable), or be part of any other lawsuit against Genco I/ FedEx that involves the claims alleged or is sufficiently related to the claims alleged in the Lawsuit. The release applies to any of the claims brought by the Class Representative in the Lawsuit or any claims that are sufficiently related to those brought by Class Representative in the Lawsuit.

Unless you exclude yourself, you will be bound by the judgment ordered by the Court in this Lawsuit, including the Release detailed below:

All known or unknown claims, demands, rights liabilities, and causes of action that were asserted (whether in tort, contract or otherwise), based upon the factual allegations in the Action as follows: failure to provide meal periods (Lab. Code §§ 204, 223, 226.7, 512 and 1198); failure to provide rest periods (Lab. Code §§ 204, 223, 226.7, and 1198); failure to pay hourly wages (Lab. Code §§ 223, 510, 1194, 1194.2, 1197, 1997.1, and 1198); failure to provide accurate written wage statements (Lab. Code § 226(a)); failure to timely pay all final wages (Lab. Code §§ 201-203); unfair competition (Bus. and Prof. Code §§ 17200, et seq.); civil penalties (Lab. Code §§ 2698, et seq.); and failure to pay California employees for all hours worked under the FLSA (29 U.S.C. § 201, et seq.)

**12. How do I exclude myself from the Settlement?**

**Background Check Case and Wage and Hour Case**

You have the right to request exclusion from either case. If you are a member in both cases, you can request exclusion from both cases or only one of them.

The Court will exclude from the class any member who requests exclusion. You may exclude yourself from either case by submitting a written request for exclusion to the Settlement Administrator, using the Request for Exclusion form provided with this Notice which includes your name, social security number, address, signature, and a statement indicating your desire not to participate in the Settlement. Your request for exclusion must be postmarked no later than **March 15, 2019** to:

**ORTIZ V GENCO I, INC. SETTLEMENT ADMINISTRATOR  
C/O RUST CONSULTING INC – 6242  
PO BOX 54  
MINNEAPOLIS, MN 55440-0054**

If you do not submit a timely request for exclusion, you will be included in the Settlement Class, and be bound by the terms of the Settlement, specifically the release language mentioned directly above.

**13. How do I object to the Settlement?**

**Background Check Case and Wage and Hour Case**

You have the right to object to the Settlement in either case. If you are a member in both cases you can object to both cases or only one of them.

Settlement Class members may object to the Settlement by filing a written objection on or before **March 15, 2019** with the Court. That objection should contain information sufficient to establish (under penalty of perjury) that the individual objecting is a Settlement Class Member and provide a detailed statement of any objection asserted, including the grounds therefore and reasons, if any, for requesting the opportunity to appear and be heard at the Final Approval Hearing. A Settlement Class Member may also appear at the Final Approval Hearing, either in person or through his or her own legal representative. If you wish to make an appearance at the Final Hearing, either through counsel or *in propria persona* (you choose to represent yourself), you will be responsible for your own litigation fees and costs.

The Court may or may not accept your objection. If the Court rejects your objection, you will still be bound by the terms of the Settlement. The objecting party must also make him or herself available upon reasonable notice for deposition taken by either Class Counsel or counsel for FedEx and/or respond to written discovery propounded by either party.

**14. Do I need a lawyer?**

**Background Check Case and Wage and Hour Case**

Class Members do not need to hire your own lawyer, because Class Counsel is working on your behalf. However, if you want your own lawyer, you are free to hire one at your own expense. The attorneys for the Class Representative and the Class, as well as Genco I/ FedEx's attorneys, are identified below:

<u>Class Counsel:</u> Shaun Setareh Thomas Segal SETAREH LAW GROUP 9454 Wilshire Boulevard Suite 907 Beverly Hills, California 90212 Tel.: 310-888-7771 Fax: 310-888-0109	<u>Counsel for Genco I/ FedEx:</u> Jeremy Naftel Nicole Legrottaglie CAROTHERS, DISANTE & FREUDENBERGER LLP 900 University Avenue, Suite 200 Sacramento, CA 95825 Tel: (961) 361-0991
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**15. When and where is the Final Approval Hearing?**

**Background Check Case and Wage and Hour Case**

The Court will hold a Final Approval hearing on or about 2:00 p.m. on April 2, 2019 in Courtroom 1 – 4th Floor of the United States District Court for the Northern District of California, Oakland Courthouse, 1301 Clay Street, Oakland, CA 94612. At that time, the Court will ultimately determine whether the settlement is fair, reasonable and adequate. The Court may change the date of the hearing on the Motion for Final Approval without further notice. If you wish to attend the hearing, you should check the Court's public website to confirm the hearing date.

The Court will also consider any objections at this time, and hear Class Counsel's motion for approval of attorney's fees and costs and Plaintiff's enhancement award. It is not necessary for you to appear at this hearing unless you desire to object to the settlement.

**16. How do I get additional information?**

**Background Check Case and Wage and Hour Case**

This Notice only summarizes the terms of the Settlement. For more information and to read all paperwork submitted in connection with these Lawsuit, refer to the documents on file with the Clerk of the Court, case number 16-cv-04601-YGR (Wage and Hour case) and 17-cv-03692-YGR (Background Check Case). These documents may be examined during regular business hours at the Clerk's Office of United State District Court, Suite 400 S, Ronald V. Dellums Federal Building & United States Courthouse, 1301 Clay Street, Oakland, CA 94612.

**PLEASE DO NOT WRITE OR TELEPHONE THE COURT OR THE OFFICE OF THE CLERK FOR INFORMATION REGARDING THIS SETTLEMENT.**

# **EXHIBIT “3”**

## NOTICE OF CLASS ACTION SETTLEMENT

*Ortiz v. Genco I, Inc.*

Case No. 4:17-cv-03692-YGR United States District Court, Northern District of California

The purpose of this Notice is to inform you that your rights may be affected by a class action lawsuit (“Lawsuit”) against FedEx Supply Chain, Inc., formerly Genco I, Inc. (“Genco I/ FedEx”) based on claims that the pre-employment background check that FedEx requested violated the federal Fair Credit Reporting Act, 15 U.S.C. §§ 1681 et seq., and California state law. The Lawsuit is pending in the United States District Court for the Northern District of California. At this time, a settlement has been preliminarily approved by the Court. The amount of money set aside to fund the settlement jointly with the settlement of a wage and hour action against GencoI/ Fed Ex is a total of \$3,150,000 (the “Settlement Amount”). If you are a member of the wage and hour class, you will receive a Long Form Notice in the mail.

Genco I/FedEx’s records indicate that you are a class member. If the settlement is approved by the Court and you do not request exclusion from the settlement class, you will receive a settlement payment. Your settlement payment is estimated to be \$39.70. The amount of your payment will also depend on the amount of attorneys’ fees and costs, class representative award, and administration costs approved by the Court.

The Court has appointed the Setareh Law Group to serve as class counsel. It will petition the Court to award \$787,500 in attorneys’ fees and up to \$20,000 in accrued litigation costs. These amounts are for this Lawsuit and the wage and hour action combined. This amount, if approved, would be paid out of the Settlement Amount. The motion for attorneys’ fees will be posted at [www.gencosettlements.com](http://www.gencosettlements.com) on October 23, 2018.

If you do not want to be bound by the settlement you must request exclusion. You may request exclusion by mailing a written Request for Exclusion to the Settlement Administrator by March 15, 2019. If you request exclusion, you will not receive a settlement payment but will retain the right to file your own lawsuit. If you choose to remain a part of the class, you can also object to the proposed settlement. Specific instructions on how to object or request exclusion are available at [www.gencosettlements.com](http://www.gencosettlements.com).

This Notice is a summary. You can retrieve a copy of the full notice and other related court documents at [www.gencosettlements.com](http://www.gencosettlements.com). If you have additional questions, please contact the settlement administrator at 1-888-227-1055 or Class Counsel Shaun Setareh of Setareh Law Group at 310-888-7771.

The Court will hold a final approval hearing on April 2, 2019 at 2:00 p.m. at Oakland Courthouse, Courtroom 1 – 4th Floor, 1301 Clay Street, Oakland, CA 91612.

ORTIZV. GENCO I, INC.  
SETTLEMENT ADMINISTRATOR  
C/O RUST CONSULTING INC - 6242  
PO BOX 54  
MINNEAPOLIS, MN 55440-0054

## IMPORTANT LEGAL NOTICE



- UAP<<SequenceNo>>

<<NAME1>>

<<NAME2>>

<<ADDRESS1>>

<<ADDRESS2>>

<<CITY>> <<STATE>> <<ZIP>>

<<COUNTRY>>

# **EXHIBIT “4”**

ORTIZ V GENCO I, INC. SETTLEMENT ADMINISTRATOR  
C/O RUST CONSULTING INC - 6242  
PO BOX 54  
MINNEAPOLIS, MN 55440-0054

**IMPORTANT LEGAL MATERIALS**



<<Name 1>>  
<<Name 2>>  
<<Name 3>>  
<<Name 4>>  
<<Address 1>>  
<<Address 2>>  
<<City>> <<State>> <<Zip 10>>  
<<CountryName>>

FOR OFFICIAL USE ONLY  
08

**MUST BE POSTMARKED  
NO LATER THAN  
MARCH 15, 2019**

**REQUEST FOR EXCLUSION FROM CLASS ACTION SETTLEMENT**

*Ortiz v. Genco I. Inc.*  
**Case No. 4:16-cv-04601-YGR and Case No. 4:17-cv-03692-YGR**  
**United States District Court, Northern District of California**

TO EXCLUDE YOURSELF FROM THE SETTLEMENT IN THIS CASE, YOU MUST COMPLETE, SIGN, AND RETURN THIS FORM BY U.S. MAIL, FAX, OR E-MAIL BY **MARCH 15, 2019**.

IF YOU ARE A CLASS MEMBER IN THE BACKGROUND CHECK CASE AND THE WAGE AND HOUR CASE YOU CAN REQUEST EXCLUSION FROM EITHER CASE OR ONLY ONE.

MAIL THIS COMPLETED FORM TO:

**ORTIZ V GENCO I, INC. SETTLEMENT ADMINISTRATOR  
C/O RUST CONSULTING INC - 6242  
PO BOX 54  
MINNEAPOLIS, MN 55440-0054  
FAX: (877) 251-1456  
EMAIL: info@gencosettlements.com**

**1. PERSONAL INFORMATION**

Please provide the following information:

Name (Last, First, Middle Initial): \_\_\_\_\_

Address: \_\_\_\_\_

City: \_\_\_\_\_ State: \_\_\_\_\_ Zip: \_\_\_\_\_

Telephone Number: (\_\_\_\_) \_\_\_\_\_ - \_\_\_\_\_ Social Security Number (last 4 digits): XXX - XX - \_\_\_\_\_

Email Address: \_\_\_\_\_  
(Optional - Will Only Be Used to Communicate With You About the Settlement)



**MUST BE POSTMARKED  
NO LATER THAN  
MARCH 15, 2019**

**2. REQUEST FOR EXCLUSION FROM BACKGROUND CHECK CLASS ACTION SETTLEMENT**

By signing and returning this Request for Exclusion Form, I wish and am requesting to be excluded from the Settlement in the Background Check Case. I understand that this means I will not be eligible to receive any Settlement Payment from the Background Check Case, and I will not have standing to object to the Settlement, Class Counsel's Motion for Attorneys' Fees and Costs, or Class Counsel's Request for Plaintiff's Class Representative Service Award.

Executed on: \_\_\_\_\_ 2018, at \_\_\_\_\_, \_\_\_\_\_  
Date City State

\_\_\_\_\_  
Signature

\_\_\_\_\_  
Please Print Name

**3. REQUEST FOR EXCLUSION FROM WAGE AND HOUR CLASS ACTION SETTLEMENT**

By signing and returning this Request for Exclusion Form, I wish and am requesting to be excluded from the Settlement in the Wage and Hour Case. I understand that this means I will not be eligible to receive any Settlement Payment from the Wage and Hour Case, and I will not have standing to object to the Settlement, Class Counsel's Motion for Attorneys' Fees and Costs, or Class Counsel's Request for Plaintiff's Class Representative Service Award.

Executed on: \_\_\_\_\_ 2018, at \_\_\_\_\_, \_\_\_\_\_  
Date City State

\_\_\_\_\_  
Signature

\_\_\_\_\_  
Please Print Name

# **EXHIBIT “5”**

ORTIZ V GENCO I, INC. SETTLEMENT ADMINISTRATOR  
C/O RUST CONSULTING INC - 6242  
PO BOX 54  
MINNEAPOLIS, MN 55440-0054

**IMPORTANT LEGAL MATERIALS**



<<Name 1>>  
<<Name 2>>  
<<Name 3>>  
<<Name 4>>  
<<Address 1>>  
<<Address 2>>  
<<City>> <<State>> <<Zip 10>>  
<<CountryName>>

FOR OFFICIAL USE ONLY  
01

**FLSA OPT-IN CLAIM FORM**

**TO RECEIVE YOUR FAIR LABOR STANDARDS ACT (FLSA) SETTLEMENT PAYMENT PORTION YOU MUST COMPLETE, SIGN, AND MAIL THIS OPT-IN CLAIM FORM BY FIRST CLASS U.S. MAIL, POSTMARKED ON OR BEFORE MARCH 15, 2019, TO:**

Ortiz v. Fed Ex I/Genco Settlement Administrator  
c/o Rust Consulting, Inc - 6242  
PO Box 54  
Minneapolis, MN 55440-0054

**PART I: CLAIMANT INFORMATION**

The name and mailing address that we have for you is:

Make any address corrections here:

<<Name 1>>

\_\_\_\_\_

<<Address 1>>

\_\_\_\_\_

<<Address 2>>

\_\_\_\_\_

<<City>>, << State>> <<Zip5>>

\_\_\_\_\_

State all names by which you have been known: \_\_\_\_\_

\_\_\_\_\_

Email Address: \_\_\_\_\_ Social Security Number (last 4 digits): XXX - XX - \_\_\_\_\_

Telephone Number (Home): (\_\_\_\_) \_\_\_\_\_ - \_\_\_\_\_ Telephone Number (Work): (\_\_\_\_) \_\_\_\_\_ - \_\_\_\_\_

**PART II: EMPLOYMENT INFORMATION**

Genco/FedEx's records indicate that you worked in the Wage and Hour Class (as described in Section I of the enclosed Notice of Class Action Settlement and Final Fairness Approval Hearing) in California from <<startdate>> until <<enddate>> for a total of <<FLSAWksWkd>> Work Weeks, resulting in an increase to your settlement payment of approximately \$<<FLSAEstSet>>, depending upon the number of claimants. You must return this form by the **MARCH 15, 2019** deadline to receive the increase to your settlement payment.





- <<SequenceNo>>

**PART III: CONSENT TO JOIN AND OPT IN TO SETTLEMENT**

I hereby consent and agree to join this lawsuit, and I hereby opt in to become a plaintiff in this lawsuit and consent to be bound by the settlement of the Fair Labor Standards Act (FLSA) claims asserted in the above-entitled lawsuit, as set forth in the Notice of Class Action Settlement and Final Fairness Approval Hearing and the Joint Stipulation for Class Action Settlement and Release of Claims. I understand that to receive my additional settlement payment for the release of my FLSA claims, I must expressly agree to opt into this settlement and expressly release my claims under the FLSA.

I hereby expressly agree to opt-in to the Settlement.

Signature: \_\_\_\_\_

Date: \_\_\_\_ / \_\_\_\_ / \_\_\_\_

# **EXHIBIT “6”**

## SUPPLEMENTAL NOTICE REGARDING CLASS ACTION SETTLEMENT

*Ortiz v. Genco I, Inc.*

Case No. 4:17-cv-04601-YGR United States District Court, Northern District of California

Case No. 4:17-cv-03692-YGR United States District Court, Northern District of California

You were previously sent notice of a class action settlement involving Fed Ex Supply Chain Inc. (formerly Genco I, Inc.) The Court has ordered this supplemental notice because important documents related to the settlement were inadvertently omitted from the settlement website [www.gencosettlements.com](http://www.gencosettlements.com).

The Long Form Notice (which sets forth all settlement terms), Request for Exclusion and Consent to Join Forms have now been posted to the website. All documents related to the Plaintiff's Motion for Final Approval and for Attorney Fees have also now been posted to the website.

Because these documents were not previously posted, the Court has ordered that deadlines for you to object to the settlement or request exclusion from it be extended. The new deadline to object to or request exclusion from the settlement is **March 15, 2019**.

If you worked at Genco I/ Fed Ex in California on or after June 24, 2013 your deadline to submit an FLSA Opt-In Claim Form and receive an increased settlement payment, is extended to **March 15, 2019**.

The final approval hearing in this case was previously set for December 11, 2018 at 2:00pm. However due to the mailing of this supplemental notice, the Court has continued the hearing.

The Court will hold the final approval hearing on **April 2, 2019** at 2:00 p.m. at Oakland Courthouse, Courtroom 1 – 4th Floor, 1301 Clay Street, Oakland, CA 94612.

ORTIZV. GENCO I, INC.  
SETTLEMENT ADMINISTRATOR - 6242  
PO BOX 54  
MINNEAPOLIS, MN 55440-0054

**IMPORTANT LEGAL NOTICE**

# **EXHIBIT “7”**

## Ortiz v Genco Exclusions

1. Rebecca Resendez
2. Ricky Conner
3. Tan Dao
4. Christian Phelan Mueller
5. Steven Eugene Jones
6. Dieumerci Malomba Loka
7. Kevin James McPherson
8. Joseph Delval
9. Tina Marie Maderias
10. Marlon Famber
11. Bradley Walinga
12. Daniel Livian
13. Peter Lee
14. Lakeisha Weaver
15. Toya Golden
16. Alyson P Patterson